

MEDICAL POLICY

MEDICAL POLICY DETAILS	
Medical Policy Title	KETAMINE FOR THE TREATMENT OF PSYCHIATRIC DISORDERS
Policy Number	3.01.13
Category	Technology Assessment
Effective Date	02/19/15
Revised Date	02/18/16, 02/16/17, 02/15/18, 01/17/19, 1/16/20
Product Disclaimer	<ul style="list-style-type: none"> • If a product excludes coverage for a service, it is not covered, and medical policy criteria do not apply. • If a commercial product (including an Essential Plan product) or a Medicaid product covers a specific service, medical policy criteria apply to the benefit. • If a Medicare product covers a specific service, and there is no national or local Medicare coverage decision for the service, medical policy criteria apply to the benefit.

POLICY STATEMENT

Based upon our review and assessment of the peer-reviewed literature, the use of ketamine (administered via oral, parenteral, sublingual or intranasal methods) for the treatment of psychiatric disorders, including but not limited to treatment resistant depression, has not been medically proven effective and, therefore, is considered **investigational**.

Refer to Corporate Medical Policy # 7.03.03 Ketamine Infusion Therapy for the Treatment of Chronic Pain Syndromes.

Refer to Corporate Medical Policy # 11.01.03 Experimental and Investigational Services.

This policy does not address Spravato (esketamine). Please see the Clinical Review Prior Authorization (CRPA) Medical Drug Policy for prior authorization criteria.

POLICY GUIDELINES

The Federal Employee Health Benefit Program (FEHBP/FEP) requires that procedures, devices or laboratory tests approved by the U.S. Food and Drug Administration (FDA) may not be considered investigational and thus these procedures, devices or laboratory tests may be assessed only on the basis of their medical necessity.

DESCRIPTION

Major depressive disorder (MDD) is a severe, recurrent, and disabling mental illness that is highly prevalent worldwide and often associated with a negative impact on the quality of life and productivity. The efficacy of current pharmacological agents for depression is disappointing. In addition to the low response rate, the long delay of traditional antidepressants in the onset of therapeutic action (up to 12 weeks) increases the burden of illness, morbidity, and the risk of suicidal behavior. Researchers have explored antidepressant options that sidestep the lag period for improvements in symptoms. There has been a growing investigation into the pathophysiology of mood disorders and more extensive research into other neurotransmitter signaling cascades such as the glutamatergic systems, which may offer a realistic, rapid-acting target for drug development in mood disorders. More recently, ketamine, a noncompetitive, high-infinity antagonist of the NMDA-type glutamate receptor used for the induction and maintenance of anesthesia, has been investigated for treatment-resistant depression (TRD). It is also being investigated in the treatment of other psychiatric conditions that include bipolar depression, post-traumatic stress disorder, obsessive compulsive disorder, and autism spectrum disorders.

Ketamine is manufactured in liquid form or as a crystallized powder for reconstitution into a liquid. It is usually administered parentally (intravenous, subcutaneous or intramuscular), but can be administered orally (liquid or pill form), sublingually, or intra-nasally (spray or powder). Ketamine has been safely used for the induction and maintenance of general anesthesia and procedural sedation for many years. The mechanism of action through which ketamine exerts its

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antidepressant effects is not fully understood. It has the potential to cause marked acute changes in cognitive function and psychological wellbeing, both through the dense population of NMDA receptors located in the cerebral cortex and hippocampus and via its effects on the transmission of modulatory, ascending monoamines such as dopamine and serotonin in the striatum and cortex.

RATIONALE

Ketamine hydrochloride injection is FDA-indicated for diagnostic and surgical procedures that do not require skeletal muscle relaxation, for the induction of anesthesia prior to the administration of other general anesthetic agents, and to supplement low-potency agents such as nitrous oxide. Ketamine for the treatment of psychiatric disorders is an off-label use.

The majority of literature investigates the use of ketamine in the treatment of depression. While preliminary studies demonstrate promising short-term outcomes in patients suffering from treatment-resistant depression, there is insufficient long-term data to support its integration into the clinical treatment armamentarium at this time. Not only do investigators need to decipher the neurobiological mechanisms underlying the putative antidepressant actions of ketamine, more studies demonstrating its safety and efficacy are necessary on critical issues such as dose optimization, delivery drug routes and methods to prevent relapse following the resolution of depressive symptoms. There are several known potential risks associated with repeat ketamine administration, including physiological and psychological effects, substance abuse potential, urinary cystitis, and hepatotoxicity.

The first study to examine the anti-depressant effects of ketamine was a repeated measures design of 9 patients (Berman *et al.*, 2000). Only 7 patients completed the study, and of those 7, four experienced positive benefits of a diluted ketamine infusion. This was a short-term, “proof of concept” study that was designed just to test whether ketamine had the anti-depressant effects that were reported in other studies, but not carefully analyzed. This study demonstrated fairly strongly that ketamine did have such effects. Additional studies since then have demonstrated the positive short-term effects of ketamine in TRD and other related affective disorders. For example, Murrough and colleagues (2013) conducted a clinical study in patients with TRD. Subjects were randomized in a 2:1 ratio to receive either 0.5 mg/kg ketamine or 0.045 mg/kg midazolam. Treatments were infused over 40 minutes. Of 73 patients, 47 received ketamine and 25 received midazolam. Reduction in MADRS at 24 hours post-infusion was the primary outcome. Baseline MADRS scores were 32.6±6.1 and 31.1±5.6 for ketamine and midazolam groups, respectively. The ketamine group demonstrated lower MADRS scores than the midazolam group (7.95 mean reduction). Mean MADRS scores 24 hours post infusion were 14.77 and 22.72 for ketamine and midazolam groups, respectively. Response, defined as ≥50% decrease in MADRS scores, was a secondary outcome. In the ketamine group, 64% met response, and 28% met response in the midazolam group (p≤0.001). The most common side effects associated with ketamine were dizziness, blurred vision, headache, nausea or vomiting, dry mouth, poor coordination, poor concentration, and restlessness. Dissociative symptoms occurred in 17% of ketamine subjects but resolved by two hours post-infusion. No severe psychotic symptoms were reported.

Numerous concerns about most of the research trials performed to date have been noted. It is difficult to perform a truly blinded intervention with ketamine due to its psychological effects. Blier *et al.* (2012) point out that using a saline injection as a placebo sham treatment isn't really adequate, as patients detect ketamine's “mild psychotomimetic effects.” They also point out holes in the research: “the level of physiologic monitoring that should be implemented, its potential neurotoxicity, and its dependence potential.” Ketamine can produce dependence on the drug and there are studies that have actually looked at ketamine-dependent people. These studies have demonstrated that such dependence results in abnormalities of white matter in bilateral frontal and left temporoparietal regions of the brain (Liao, et al. 2010, 2011). Further investigations into the consequences of long-term ketamine use are necessary.

CODES

- *Eligibility for reimbursement is based upon the benefits set forth in the member's subscriber contract.*
- **CODES MAY NOT BE COVERED UNDER ALL CIRCUMSTANCES. PLEASE READ THE POLICY AND GUIDELINES STATEMENTS CAREFULLY.**

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- Codes may not be all inclusive as the AMA and CMS code updates may occur more frequently than policy updates.
- Code Key: Experimental/Investigational = (E/I)

CPT Codes

Code	Description
96365	Intravenous infusion, for therapy, prophylaxis, or diagnosis (specify substance or drug); initial, up to 1 hour
96366	each additional hour (list separately in addition to code for primary procedure)
96374	Therapeutic, prophylactic, or diagnostic injection (specify substance or drug); intravenous push, single or initial substance/drug

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HCPCS Codes

Code	Description
J3490	Unclassified drug

ICD10 Codes

Code	Description
	Investigational for all diagnosis codes

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*Key Article

KEY WORDS

Ketamine, N-methyl-D-aspartate antagonist, Treatment resistant depression.

CMS COVERAGE FOR MEDICARE PRODUCT MEMBERS

Based upon our review, the use of ketamine in the treatment of psychiatric disorders is not addressed in National or regional CMS coverage determinations or policies.